

PTO/SB/17 (11-04)  
Approved for use through 7/31/2006. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$). 2,030.00

## Complete if Known

Application Number 09/877,387  
Filing Date June 7, 2001  
First Named Inventor David S. JONES  
Examiner Name R. Raymond  
Art Unit 1624  
Attorney Docket No. 252312007500

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order  
☒ Deposit Account ☐ None

Deposit  
Account  
Number

03-1952

Deposit  
Account  
Name

Morrison & Foerster LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below  
☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
under 37 CFR 1.16 and 1.17  
☒ Credit any overpayments

To the above-identified deposit account.

☐ Other (please identify):

## FEE CALCULATION (continued)

### 2. EXTRA CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
205	- 131 = 74	x 25.00 =	1,850.00

HP= highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
16	- 16 = 0	x 100.00 =	0.00

HP= highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
180.00		0.00
<b>Subtotal (2) \$</b>		<b>1,850.00</b>

## FEE CALCULATION

### 1. BASIC FILING FEE

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
Utility Filing Fee	790	395	
Design Filing Fee	350	175	
Plant Filing Fee	550	275	
Reissue Filing Fee	790	395	
Provisional Filing Fee	160	80	
<b>Subtotal (1) \$</b>			<b>0.00</b>

### 3. OTHER FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid
1-month extension of time	110	55	
2-month extension of time	430	215	
3-month extension of time	980	490	
4-month extension of time	1,530	765	
5-month extension of time	2,080	1,040	
Information disclosure stmt. Fee	180	180	180.00
37 CFR 1.17(q) processing fee	50	50	
Non-English specification	130	130	
Notice of Appeal	340	170	
Filing a brief in support of appeal	340	170	
Request for oral hearing	300	150	
Other:			
<b>Subtotal (3) \$</b>			<b>180.00</b>

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	35,196	Telephone	(650) 813-5832
Name (Print/Type)	Charles D. Holland	Date	December 8, 2004		

Docket No. 252312007500

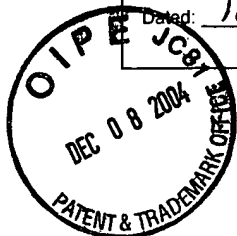
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 335377467 US, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below

Dated:

12/08/04

Signature:

*Georgina Matos*  
(Georgina Matos)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
David S. JONES

Serial No.: 09/877,387

Filing Date: June 7, 2001

For: MULTIVALENT PLATFORM  
MOLECULES COMPRISING HIGH  
MOLECULAR WEIGHT  
POLYETHYLENE OXIDE

Examiner: R. Raymond

Group Art Unit: 1624

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. All documents are U.S. patents or U.S. patent publications and accordingly copies are not enclosed. The Examiner is requested to make these documents of record.

12/14/2004 SDENB081 00000001 031952 09877387  
02 FC:1806 180.00 DA

pa-939843

Applicants would also like to bring to the Examiner's attention the following co-pending applications:

09/590,592, filed June 8, 2000

10/631,388, filed July 30, 2003

10/846,079, filed May 13, 2004

10/892,956, filed July 16, 2004

10/903,058, filed July 30, 2004

10/957,198, filed October 1, 2004

A copy of application number 09/590,592 is attached for the Examiner's convenience. All other co-pending applications are available through the Image File Wrapper system and accordingly, copies are not enclosed.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing

Application No. 09/877,387

Docket No. 252312007500

252312007500. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 8, 2004

Respectfully submitted,

By 

Charles D. Holland

Registration No.: 35,196

For Rebecca Shortle

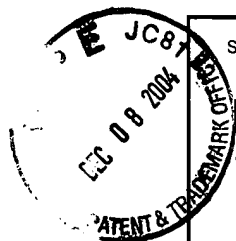
Registration No.: 47,083

MORRISON & FOERSTER LLP

755 Page Mill Road

Palo Alto, California 94304

(650) 813-5654



Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	09/877,387
				Filing Date	June 7, 2001
				First Named Inventor	David S. JONES
				Art Unit	1624
				Examiner Name	R. Raymond
Sheet	1	of	2	Attorney Docket Number	252312007500

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1.	US-2001-0007755-A1	07-12-2001	Borel et al.	
	2.	US-2002-0086819-A1	07-04-2002	Drummond et al.	
	3.	US-2002-0142964-A1	10-03-2002	Nissen et al.	
	4.	US-2002-0197261-A1	12-26-2002	Li et al.	
	5.	US-2003-0031674-A1	02-13-2003	Qiu et al.	
	6.	US-2003-0032594-A1	02-13-2003	Bonny et al.	
	7.	US-2003-0103934-A1	06-05-2003	Sato et al.	
	8.	US-2003-0103990-A1	06-05-2003	Coutts et al.	
	9.	US-2003-0130197-A1	07-10-2003	Smith-Swintosky et al.	
	10.	US-2003-0139346-A1	07-24-2003	Bentley et al.	
	11.	US-2003-0228275-A1	12-11-2003	Ekwuribe et al.	
	12.	US-2004-0224366-A1	11-11-2004	Jones et al.	
	13.	US-5,135,737	08-04-1992	Keana	
	14.	US-5,229,490	07-20-1993	Tam	
	15.	US-6,072,040	06-06-2000	Dave et al.	
	16.	US-6,207,160-B1	03-27-2001	Victoria et al.	
	17.	US-6,258,774	07-10-2001	Stein et al.	
	18.	US-6,323,322	11-27-2001	Filpula et al.	
	19.	US-6,413,507-B1	07-02-2002	Bentley et al.	
	20.	US-6,423,685	07-23-2002	Drummond et al.	
	21.	US-6,441,136	08-27-2002	Pettit	
	22.	US-6,461,849	10-08-2002	Olsen et al.	
	23.	US-6,495,659-B2	12-17-2002	Bentley et al.	
	24.	US-6,500,934	12-31-2002	Lerner et al.	
	25.	US-6,537,519	03-25-2003	Borel et al.	
	26.	US-6,548,644	04-15-2003	Pettit	
	27.	US-6,562,787	05-13-2003	Barney et al.	
	28.	US-6,583,267	06-24-2003	Yamasaki et al.	
	29.	US-6,602,498-B2	08-05-2003	Shen	
	30.	US-6,656,906	12-02-2003	Barney et al.	
	31.	US-6,774,180-B1	08-10-2004	Kozlowski et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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pa-939413

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*

Sheet 2 of 2

**Complete if Known**

Application Number	09/877,387
Filing Date	June 7, 2001
First Named Inventor	David S. JONES
Art Unit	1624
Examiner Name	R. Raymond
Attorney Docket Number	252312007500

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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pa-939413

